

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Courtney Hudson

Application No. 09/699,372

Filed: October 31, 2000

For: SYSTEM AND METHOD FOR MATCHING PATIENTS WITH CLINICAL TRIALS



Art Unit: 2166

Examiner : TBA

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION** dated January 11, 2001, Applicant submits herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Executed Declaration and Power of Attorney;
3. Amendment of Applicants;
4. Request for Corrected Filing Receipt
5. Marked-up copy of Filing Receipt.

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127 referencing Order No. 18966.0002.

Respectfully submitted,
Swidler Berlin Shereff Friedman, LLP

A handwritten signature in cursive script, appearing to read "Edward J. Naidich".

By: Edward J. Naidich
Registration No. 43,826

Dated: March 12, 2001

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007
(202) 424-7500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

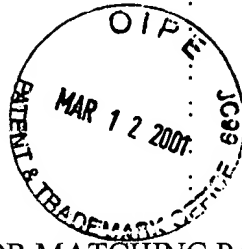
Courtney Hudson

Application No. 09/699,372

Filed: October 31, 2000

Art Unit: 2166

Examiner:



For: SYSTEM AND METHOD FOR MATCHING PATIENTS WITH CLINICAL TRIALS

AMENDMENT OF APPLICANTS

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

Please amend the applicants of the subject application by deleting Glenn Rice. The individual was listed on the submitted declaration in error. This amendment is submitted on the advice of a representative of the Office of Initial Preliminary Examination during a recent telephone inquiry regarding the appropriate method for correcting this error. This error was made without deceptive intent. Please note that a petition pursuant to 37 C.F.R. § 1.48 is only required when an inventor is listed in error in an executed declaration. Glenn Rice did not execute the submitted declaration.

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127, Order No. 18966.0002.

Respectfully submitted,
Swidler Berlin Shereff Friedman, LLP

A handwritten signature in cursive script, appearing to read 'Edward J. Naidich'.

Edward J. Naidich
Reg. No. 43 ,826

Date: March 12, 2001

Swidler Berlin Shereff Friedman, LLP
3000 K Street, N.W., Suite 300
Washington, D. C. 20007
Tel: (202) 424-7500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Courtney Hudson

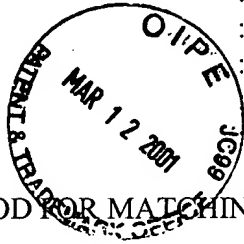
Application No. 09/699,372

Filed: October 31, 2000

Titled: SYSTEM AND METHOD FOR MATCHING PATIENTS WITH CLINICAL TRIALS

Group Art Unit : 2166

Examiner: TBA



REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant requests that a Corrected Filing Receipt be issued due to an error on the Filing Receipt mailed January 11, 2001. A copy marked in red ink showing the required correction is attached.

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment to Deposit Account No. 19-5127 referencing Order No. 18966.0002 for entry of this paper.

Respectfully submitted,
Swidler Berlin Shereff Friedman, LLP

A handwritten signature in black ink, appearing to read "Edward J. Naidich".

By: Edward J. Naidich
Registration No. 43,826

Dated: March 12, 2001

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007
(202) 424-7500



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/699,372 /	10/31/2000 /	2166	782	18966.0002 /	3	24	3

23517

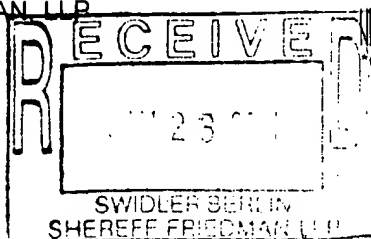
SWIDLER BERLIN SHEREFF FRIEDMAN LLP

3000 K STREET, NW

BOX 1P

WASHINGTON, DC 20007

FILING RECEIPT



OC000000005670469

Date Mailed: 01/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Courtney Hudson, Residence Not Provided;

~~Glenn Rice, Residence Not Provided;~~

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/10/2001

Title

System and method for matching patients with clinical trails

Trials

Preliminary Class

705

Data entry by : NIMMONS, EVELYN

Team : OIPE

Date: 01/11/2001





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,372	10/31/2000	Courtney Hudson	18966.0002

23517
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K STREET, NW
BOX 1P
WASHINGTON, DC 20007



FORMALITIES LETTER



OC000000005670470

Date Mailed: 01/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/699,372

Filing Date October 31, 2000

First Named Inventor Courtney Hudson

Group Art Unit 2166

Examiner Name TBA

Total Number of Pages in This Submission 10

Attorney Docket Number 18966.0002

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Statement Claiming Small Entity Status

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Executed Declaration;
Amendment of Applicants;
Request for Corrected Filing
Receipt & marked-up copy of
Filing Receipt

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Edward J. Naidich, Registration No. 43, 826
Swidler Berlin Shereff Friedman, LLP

Signature

Edward Naidich

Date

March 12, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/699,372
Filing Date	October 31, 2000
First Named Inventor	Courtney Hudson
Examiner Name	TBA
Group / Art Unit	2166
Attorney Docket No.	18966.0002

TOTAL AMOUNT OF PAYMENT (\$) 130**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

195127, Order # 18966.0002

Deposit
Account
Name

Swidler Berlin Shereff Friedman, LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☒ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0	X	0
Independent Claims	3**	0	X
Multiple Dependent		X	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Edward J. Naidich	Registration No. Attorney/Agent)	43,826	Telephone	202 424-7500
Signature	<i>Edward Naidich</i>			Date	March 12, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.